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From: Stephen M. De Klerk, Reg. No. 46,503
Date: March 27, 2008 Time: 6:45 p.m.
Operator: Linda Brost Atty. Docket No.: 007402.P001
Number of pages including cover sheet: 17
In Re Patent Application of: Depak Kaura Lal
Application No.: 10/523,988
Filed: February 8, 2005 [Acceptance Date - March 3, 2006]
For: ENERGY CONSUMPTION MONITORING
Message: In reviewing the file for this application in preparation for paying the issue fee, we note that there are errors in PAIR and on the Filing Receipt. This 371 application was filed on Feb. 8, 2005, but the postcard was returned with a stamp of Feb. 9, 2005; see copy of Express Mail label with correct date circled and of the returned postcard with the serial number, and a printout of the PAIR record showing the incorrect original filing date. We requested that this error be corrected in our Missing Requirements response; attached are the 1st three pages of that response for your reference. Also, we have requested twice before to have the UK priority document number corrected; it should be 0218452.1 (with a period between the last two numbers). Copies of the 1st page of the PCT, the Declaration, 1st three pages of the Preliminary Amendment, and of the Filing Receipt are enclosed. Please correct the PAIR record to show the initial filing date of 2/8/05 and issue a corrected Filing Receipt showing the correct GB priority number as soon as possible. Thank you for your assistance.

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10/523988

Int'l App. No. PCT/GB2003/003338 Int'l Filing Date: 31 Jul 2003 Docket No.: 7402.P001
 US App. No. US Filing Date: 08 Feb 2005 Foreign Assoc. No.: 896.78927/02mjb
 Date Mailed: 08 Feb 2005 Docket Due Date(s): Atty/Sec: SMD/kh
 Client: DEPAK LAL
 Title: ENERGY CONSUMPTION MONITORING **DT07 Rec'd PCT/PTO 8-9 FEB 2005**

Inventor(s) Lal

The following has been received in the U.S. Patent & Trademark Office on the date stamped herein:

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| <input type="checkbox"/> Abstract | <input type="checkbox"/> PCT Request (orig. & copy) |
| <input checked="" type="checkbox"/> Amendment: Preliminary (<u>11</u> pgs) | <input type="checkbox"/> Petition for Extension of Time |
| <input checked="" type="checkbox"/> Application: <u>Utility</u> (<u>37</u> pgs) | <input type="checkbox"/> Power of Attorney (<u> </u> pgs) (orig. & copies) |
| <input type="checkbox"/> Assignment & Cover Sheet (<u> </u> pgs) | <input type="checkbox"/> Priority Request |
| <input type="checkbox"/> Certificate of | <input type="checkbox"/> Response to Notice of Missing Parts |
| <input type="checkbox"/> Claims (<u> </u> pgs) | <input type="checkbox"/> Response to Written Opinion (<u> </u> pgs) |
| <input type="checkbox"/> Drawings: sheets, figures | <input checked="" type="checkbox"/> Transmittal Letter |
| <input checked="" type="checkbox"/> Express Mail EV 409406123 US | <input type="checkbox"/> Transmittal of Formal Drawings |
| <input type="checkbox"/> Fee Calculation Sheet (orig. & copy) | <input checked="" type="checkbox"/> Check No. <u>70375</u> In the Amount of <u>\$500.00</u> |
| <input checked="" type="checkbox"/> Information Disclosure Statement & PTO/5B/08 (3 pgs) | <input type="checkbox"/> Check No. <u> </u> In the Amount of <u> </u> |
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Copy of International Search Report, return receipt postcard.

Assignee: Depak LalForeign Associate's Name: Frank B. Dehn & Co.

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Page 1 of 1



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10/523,988

ENERGY CONSUMPTION MONITORING

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Transaction History

| Date | Transaction Description |
|------------|---|
| 02-14-2008 | Filing Receipt - Corrected |
| 12-31-2007 | Mail Notice of Allowance |
| 12-28-2007 | Notice of Allowance Data Verification Completed |
| 12-28-2007 | Document Verification |
| 10-23-2007 | Date Forwarded to Examiner |
| 10-15-2007 | Response after Non-Final Action |
| 10-15-2007 | Request for Extension of Time - Granted |
| 03-09-2005 | Information Disclosure Statement considered |
| 02-09-2005 | Information Disclosure Statement (IDS) Filed |
| 04-12-2007 | Mail Non-Final Rejection |
| 04-11-2007 | Non-Final Rejection |
| 03-12-2007 | Case Docketed to Examiner in GAU |
| 02-26-2007 | Transfer Inquiry to GAU |
| 02-21-2007 | Transfer Inquiry to GAU |
| 01-29-2007 | Case Docketed to Examiner in GAU |
| 10-19-2006 | Case Docketed to Examiner in GAU |
| 06-02-2006 | IFW TSS Processing by Tech Center Complete |
| 06-02-2006 | Case Docketed to Examiner in GAU |
| 03-09-2005 | Request for Foreign Priority (Priority Papers May Be Included) |
| 02-09-2005 | Preliminary Amendment |
| 03-03-2006 | Reference capture on IDS |
| 04-06-2006 | Cleared by OIPE CSR |
| 04-06-2006 | Cleared by OIPE CSR |
| 04-06-2006 | Cleared by OIPE CSR |
| 04-06-2006 | Cleared by OIPE CSR |
| 03-03-2006 | 371 Completion Date |
| 03-31-2006 | Application Dispatched from OIPE |
| 03-31-2006 | Notice of DO/EO Acceptance Mailed |
| 03-03-2006 | Additional Application Filing Fees |
| 03-03-2006 | A statement by one or more inventors satisfying the requirement under 35 USC 115, Oath of the Applicant |
| 09-28-2005 | Notice of DO/EO Missing Requirements Mailed |
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MAR 27 2008

Attorney's Docket No.: 007402.P001

PatentIN THE UNITED STATES PATENT AND TRADEMARK OFFICE
FILING UNDER 35 U.S.C. § 371

In re Patent Application of:

Depak Lal

Application No.: 10/523,988

Filed: February 8, 2005

For: ENERGY CONSUMPTION
MONITORING

Examiner: Not Yet Assigned

Art Unit: Not Yet Assigned

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS
UNDER 35 U.S.C. § 371 IN THE
UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

Dear Sir:

In response to the Notification Of Missing Requirements Under 35 U.S.C. § 371
in the United States Designated/Elected Office (DO/EO/US) mailed on
September 28, 2005, please find enclosed:

First-Class Certificate of Mailing

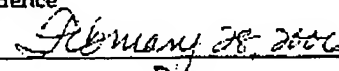
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Linda K. Brost

Name of Person Mailing Correspondence


Signature
Date

- (1) The Declaration and Power of Attorney executed by the inventor in compliance with 37 CFR § 1.497(a) and (b);
- (2) A check in the amount of \$65.00 in payment of the surcharge of 37 C.F.R. § 1.492(e) (late oath or declaration) (small entity fee);
- (3) A Petition for a three-month extension of time under 37 C.F.R. § 1.136(a);
and
- (4) A check in the amount of \$510.00 in payment of the extension of time fee (small entity); and
- (8) A copy of the Notification Of Missing Requirements Under 35 U.S.C. § 371 in the United States Designated/Elected Office (DO/EO/US).

* In addition, please note that the filing date is incorrect as shown on the sticker affixed to the original return receipt postcard for this application as well as on the Notification of Missing Requirements. Enclosed please find: *

(1) A copy of the return receipt postcard, with the correction to the sticker marked;

(2) A copy of the Preliminary Amendment filed with the application, reflecting a Certificate of Mailing stating that the application was mailed on February 8, 2005; and

(2) A copy of the Express Mail label, showing as February 8, 2005 the date this application was received and processed at the U.S. Postal Service.

Please correct the filing date for this application in your records to February 8, 2005.

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

Dated: February 28, 2006

Stephen M. De Klerk
Reg. No. 46,503

12400 Wilshire Boulevard
Seventh Floor
Los Angeles, California 90025
(408) 720-8300

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property
Organization
International Bureau



(43) International Publication Date
19 February 2004 (19.02.2004)

PCT

(10) International Publication Number
WO 2004/015837 A3

(51) International Patent Classification: H02J 33/00,
G06F 17/60

(21) International Application Number:
PCT/GB2003/003338

(22) International Filing Date: 31 July 2003 (31.07.2003)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
0218452.1 8 August 2002 (08.08.2002) GB

(71) Applicant and

(72) Inventor: LAL, Depak [GB/GB]; 3, Home Ground,
Cricklade, Wiltshire SN6 6JG (GB).

(74) Agent: BUTLER, Michael, John; Frank B. Dehn & Co.,
179 Queen Victoria Street, London EC4V 4EL (GB).

(81) Designated States (national): AE, AG, AL, AM, AT, AU,
AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU,
CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH,
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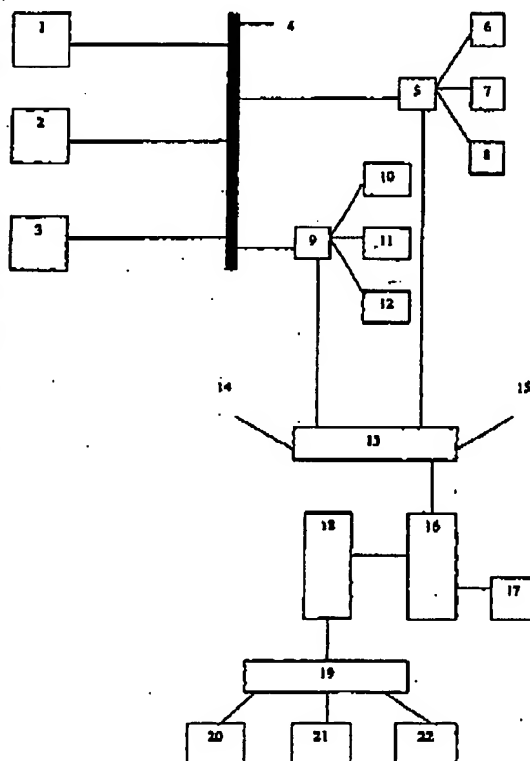
(84) Designated States (regional): ARIPO patent (GH, GM,
KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW),
Burasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),
European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE,
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GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

— with international search report

[Continued on next page]

(54) Title: ENERGY CONSUMPTION MONITORING



(57) Abstract: A system for analysing energy usage on a network, such as an electricity network (4) which includes a number of energy sources (1, 2, 3) and a number of energy consumers (6, 7, 8, 10, 11, 12) the system including a plurality of meters (5, 9) on the network which monitor energy usage on the network at intervals throughout a day, the meters supplying data to data processing apparatus (16, 17) which aggregates the data so as to provide an indication of current total energy usage, and which stores data so as to permit the retrieval of historical energy usage for predetermined intervals on days of the year, wherein the data processing apparatus further compares current total energy usage for a particular point or period of time in the year with average historical total energy usage for that point or period of time, and generates an index which indicates the difference between the average historical energy usage and the current total energy usage. A period of time is taken for data to be received from all of the meters (5, 9), and once the data has been received from all of the meters and aggregated, subsequent aggregate totals are calculated at intervals substantially shorter than said period of time using the latest data received from all of the meters. The index can be used to reduce the financial effects of imbalances in a network in which retailers contract for the supply of energy from energy producers. In such an arrangement, the retailer's own demand error is calculated using the commonly applicable index by producers and retailers, to give an indication of the degree of imbalance in the market. Financial derivatives can be used to hedge the financial consequences of imbalances.

WO 2004/015837 A3

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Attorney's Docket No.: 007402.P001First Named Inventor: Deepak LalPatentCheck One:

☐ Declaration Submitted with
Initial Filing OR
☒ Declaration Submitted After
Initial Filing (Surcharge under
37 C.F.R. § 1.16(e) Required)

Complete If Known:

Application No.: 10/523,988
Filing Date: February 8, 2005
Art Unit: Not Yet Assigned
Examiner Name: Not Yet Assigned

DECLARATION AND POWER OF ATTORNEY FOR UTILITY OR DESIGN PATENT APPLICATION

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

ENERGY CONSUMPTION MONITORING

(Title of the Invention)

the specification of which

☒ is attached hereto
was filed on (MM/DD/YYYY) 02/08/2005 as
as United States Application Number 10/523,988
or PCT International Application Number _____
and was amended on (MM/DD/YYYY) _____
(If applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment specifically referred to above.

I do not know and do not believe that the claimed invention was ever known or used in the United States of America before my invention thereof, or patented or described in any printed publication in any country before my invention thereof or more than one year prior to this application. I do not know and do not believe that the claimed invention was in public use or on sale in the United States of America more than one year prior to this application, nor do I know or believe that the invention has been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months (for a utility patent application) or six months (for a design patent application) prior to this application.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

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I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed:

| Prior Foreign Application(s) | | | Priority Claimed? | | Certified Copy Attached? | |
|-------------------------------|----------------------------|--|---|-----------------------------|------------------------------|--|
| PCT/GB2003/003338 (Number) | PCT (Country) | 07/31/2003 (Foreign Filing Date - MM/DD/YYYY) | <input checked="" type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| 0218452.1 (Number) | Great Britain (Country) | 08/08/2002 (Foreign Filing Date - MM/DD/YYYY) | <input checked="" type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
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I hereby appoint the patent practitioners associated with the Customer Number **08791** as my respective patent attorneys and patent agents, with full power of substitution and revocation, to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected herewith.

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 Seventh Floor
 Los Angeles, California 90025
 Telephone: (408) 720-8300
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NAME OF SOLE OR FIRST INVENTOR: ☐ A petition has been filed for this unsigned inventor

Full Name: Debak Kaura LAL
(Given Name (First and Middle (if any)), Family Name (or Surname), and Suffix (if any))

Inventor's Signature Debak Lal Date 20th February 2006

Residence Wiltshire, Great Britain Citizenship Great Britain
(City, Country) (Country)

Mailing Address 3, Home Ground, Cricklade
Wiltshire SN6 6JG, Great Britain

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Attorney's Docket No.: 007402.P001

PatentIN THE UNITED STATES PATENT AND TRADEMARK OFFICE
FILING UNDER 35 U.S.C. § 371

In re Patent Application of:

Depak Lal

Application No.: Not Yet Assigned

Filed: Herewith

For: ENERGY CONSUMPTION
MONITORING

Examiner: Not Yet Assigned

Art Unit: Not Yet Assigned

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450PRELIMINARY AMENDMENT

Dear Sir:

Prior to examination of the above-referenced application, the Applicant respectfully requests the Examiner to enter the following amendments and to consider the following remarks. The Examiner is requested to enter this amendment before calculating the fee.

Certificate of Express Mailing

"Express Mail" mailing label number: EV 409406123 US

Date of Deposit: February 8, 2005

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Linda K. Brost

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(Date signed)

Depak Lal
Application No.: Not Yet AssignedExaminer: Not Yet Assigned
Art Unit: Not Yet Assigned

- 1 -

REPLACEMENT PAGES

Please replace Page 16 of the original application with the corresponding
Amended Sheet under Article 34.

Depak Lal
Application No.: Not Yet Assigned

- 2 -

Examiner: Not Yet Assigned
Art Unit: Not Yet Assigned

IN THE SPECIFICATION

Please add the following paragraph at Page 1, Line 2 of the application:

-- This is a National Phase of International Application No.

PCT/GB2003/003338, filed on July 31, 2003, which claims priority from Great

Britain Patent Application No. 0218452.1, filed on August 8, 2002. --

Depak Lal
Application No.: Not Yet Assigned

- 3 -

Examiner: Not Yet Assigned
Art Unit: Not Yet Assigned



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|-----------------------|--------------------------|-----------------|---------------|-----------------|------------|------------|
| 10/523,988 | 03/03/2006 | 2125 | 515 | 7402P001 | 20 | 3 |

CONFIRMATION NO. 2840

CORRECTED FILING RECEIPT

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 SUNNYVALE, CA 94085-4040

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FEB 20 2008

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
SUNNYVALE

Date Mailed: 02/14/2008

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Depak Kaura Lal, Wiltshire, UNITED KINGDOM; ✓

Power of Attorney: The patent practitioners associated with Customer Number 8791 ✓

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/GB03/03338 07/31/2003 ✓

Foreign Applications

UNITED KINGDOM 02184521 08/08/2002 -

If Required, Foreign Filing License Granted: 02/14/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 10/523,988** ✓

Projected Publication Date: Not Applicable

Non-Publication Request: No ✓

Early Publication Request: No ✓

** SMALL ENTITY ** ✓

page 1 of 3

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Title**ENERGY CONSUMPTION MONITORING****Preliminary Class****700****PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

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Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

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